

UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,536	08/30/2001	Paul A. Farrar	303.469US3

CONFIRMATION NO. 2426

21186  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
1600 TCF TOWER  
121 SOUTH 8TH STREET  
MINNEAPOLIS, MN 55402

## FORMALITIES LETTER



\*OC000000006817103\*

Date Mailed: 10/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

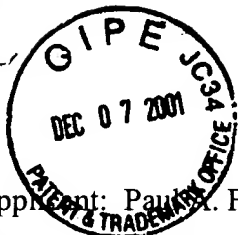
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$526.
  - \$126 for 7 total claims over 20.
  - \$400 for 5 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1366.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/13/2001 14:28:10 00000062 09945536  
740.00 DP  
126.00 DP  
420.00 DP  
130.00 DP  
01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR  
#

Applicant: Paul M. Farrar et al.

Title: THERMAL PROCESSING OF METAL ALLOYS FOR AN IMPROVED CMP PROCESS IN  
INTEGRATED CIRCUIT FABRICATION

Docket No.: 303.469US3

Filed: August 30, 2001

Examiner:

Serial No.: 09/945,536

Due Date: December 1, 2001

Group Art Unit: 2825

Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$130.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$1,286.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ Notice to File Missing Parts (1pg.)
- Other: \_\_\_\_.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Edward J. Brooks, III  
Name: Edward J. Brooks, III  
Reg. No. 40,925  
EJB:CMG:jds

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 22 day of October, 2001.

Name JASON D. SMITH

Signature [Signature]



09/945,536

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul A. Farrar et al.

Examiner:

Serial No.: 09/945,536

Group Art Unit: 2825

Filed: August 30, 2001

Docket: 303.469US3

Title: THERMAL PROCESSING OF METAL ALLOYS FOR AN IMPROVED CMP  
PROCESS IN INTEGRATED CIRCUIT FABRICATION

COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$1,286.00 to cover the large entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6913.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

PAUL A. FARRAR ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6913

Date

10/22/2001

By

Edward J. Brooks, III

Reg. No. 40,925

EJB:CMG:jds

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 22 day of October, 2001.

Name

JASON D. SMITH

Signature